

**IAP13 Rec'd PCT/PTO 08 JAN 2007**

**Response to Missing  
Requirements Under  
35 USC § 371**

Attorney Docket No.: JAMES118.001APC  
First Named Inventor: Julian Mark Watson  
Int'l Application No.: PCT/NZ2004/00268  
US Application No.: 10/577,606  
Entered National Phase: April 27, 2006  
Title: METHOD OF FORMING A SLIP-RESISTANT PHOTO-  
LUMINESCENT DEVICE

Page 1 of 2

**Direct all correspondence to Customer No.: 20995**

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United States Patent and Trademark Office  
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Alexandria, VA 22313-1450

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mail in an envelope addressed to: United States Patent and Trademark  
Office, PO Box 1450, Alexandria, VA 22313-1450, on

January 3, 2007

(Date)

Thomas R. Arno, Reg. No. 40,490

Applicant herewith submits to the US Designated/Elected Office (DO/EO/US) the following items and  
other information:

- (X) This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 USC 371.
- (X) Copy of the Notification of Missing Requirements under 35 USC 371 dated November 3, 2006.
- (X) An Oath or Declaration signed by the inventors (35 USC 371(c)(4)) in 2 pages.
- (X) Power of attorney form and copy of assignment in 3 pages.
- (X) Return prepaid postcard.

**FILING FEES NOT YET PAID:**

FEE CALCULATION				
FEE TYPE		LARGE FEE	CALCULATION	TOTAL
Late Oath/Decl.	37 CFR § 1.492(h)	1617 (\$130)		\$130
			<b>SUB TOTAL</b>	<b>\$130</b>
			<b>TOTAL FEE DUE</b>	<b>\$130</b>

- (X) A check in the amount of **\$130** is enclosed to cover the above fees.

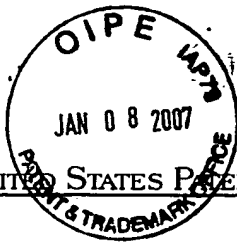
The Commissioner is hereby authorized to charge any additional fees which may be required, or  
credit any overpayment to Deposit Account No. 11-1410.

01/10/2007 MKAYPAGH 00000050 10577606

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130.00 0P

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PS

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/577,606	Julian Mark Watson	JAMES118001APC

INTERNATIONAL APPLICATION NO.
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PCT/NZ04/00268

I.A. FILING DATE	PRIORITY DATE
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10/28/2004

10/28/2003

20995  
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FOURTEENTH FLOOR  
IRVINE, CA 92614

CONFIRMATION NO. 5402

371 FORMALITIES LETTER



\*OC000000021076972\*

Date Mailed: 11/03/2006

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 04/27/2006
- Copy of the International Search Report filed on 04/27/2006
- Copy of IPE Report filed on 04/27/2006
- Preliminary Amendments filed on 04/27/2006
- Information Disclosure Statements filed on 07/17/2006
- Request for Immediate Examination filed on 04/27/2006
- U.S. Basic National Fees filed on 04/27/2006
- Priority Documents filed on 04/27/2006
- Specification filed on 04/27/2006
- Claims filed on 04/27/2006
- Abstracts filed on 04/27/2006
- Drawings filed on 04/27/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

**SUMMARY OF FEES DUE:**

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.  
<https://portal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <http://www.uspto.gov/ebc>.

**If you are not using EFS-Web to submit your reply, you must include a copy of this notice.**

VONDA M WALLACE

Telephone: (703) 308-9140 EXT 225

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/577,606	PCT/NZ04/00268	JAMES118001APC